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PTO/SB/05 (03-01) Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

Please type a plus sign (+) inside this box -

Attorne	ey Docket No.	1174/146
First Ir	nventor	David A. Meckes
Title	See 1 in Adde	endum

Express Mail Label No. | EV023031743US (Only for new nonprovisional applications under 37 CFR 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: Box Patent Application See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) Applicant claims small entity status 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) Specification [Total Pages Computer Readable Form (CRF) (preferred arrangement set forth below)

- Descriptive title of the invention Specification Sequence Listing on: Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention Statements verifying identity of above copies - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) - Detailed Description Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3.73(b) Statement Power of - Abstract of the Disclosure 10. (when there is an assignee) Attorney English Translation Document (if applicable) 4. X Drawing(s) (35 U.S. C. 113) [ Total Sheets Copies of IDS Information Disclosure 12 Oath or Declaration [ Total Pages Citations Statement (IDS)/PTO-1449 Preliminary Amendment Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed) 13 Return Receipt Postcard (MPEP 503) 14. (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) Nonpublication Request under 35 U.S.C. 122 named in the prior application, see 37 CFR 16. (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. Other Check for \$1490.00 Application Data Sheet. See 37 CFR 1.76 17. X 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1. 76: Continuation-in-part (CIP) of prior application No.: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Correspondence address below X Customer Number or Bar Code Label Name Address Zip Code State City Fax Telephone Country Registration No. (Attorney/Agent) 36,058 Jeffrev L. Wilson Name (Print/Type) 28 Feb. 2002 Date Signature

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

### Addendum

1. SYSTEM AND METHOD FOR MONITORING GROUPED RESOURCES

PTO/SB/17 (11-01)
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## **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

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C	omplete if Known
Application Number	Not Assigned
Filing Date	Herewith
First Named Inventor	David A. Meckes
Examiner Name	Not Assigned
Group Art Unit	Not Assigned
Attorney Docket No.	1174/146

METHOD OF PAYMENT (check all that apply)	. FEE CALCULATION (continued)	
X Check Credit card Money Other None	3. ADDITIONAL FEES	
Order Order	Large Entity   Small Entity	
Deposit Account.	Fee Fee Fee Fee Fee Description	Fee Paid
Account Number	Code (\$) Code (\$)  105 130 205 65 Surcharge - late filing fee or oath	
Deposit		
Account Name	127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
The Commissioner is authorized to: (check all that apply)	139 130 139 130 Non-English specification	
Charge fee(s) indicated below Credit any overpayments	147 2 520 147 2 520 For filing a request for ex parte reexamination	.[
Charge any additional fee(s) during the pendency of this application	112 920* 112 920* Requesting publication of SIR prior to	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	Examiner action	<del></del>
FEE CALCULATION	113 1,840° 113 1,840° Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month	
Large Entity   Small Entity	116 400 216 200 Extension for reply within second month	
Fee Fee Fee Fee Pee Pee Pee Paid	117 920 217 460 Extension for reply within third month	-
404 740 204 270 Utilibi Silon for	118 1,440 218 720 Extension for reply within fourth month	<u> </u>
. 106 330 206 165 Design filing fee 740.00	128 1,960 228 980 Extension for reply within fifth month	
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal	
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal	
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing	-
SUBTOTAL (1) (\$) 740.00	138 1,510 138 1,510 Petition to institute a public use proceeding	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	140 110 240 55 Petition to revive - unavoidable  141 1 280 241 840 Petition to revive - unintentional	<del></del>
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Extra Claims below Fee Paid  Total Claims $43$ $-20^{\circ\circ} = 23$ $\times$ $18 = 414$	1 142 1,280 242 640 Utility issue fee (or reissue) 1 143 460 243 230 Design issue fee	
Independent 7 336	144 620 244 310 Plant issue fee	
Claims	122 130 122 130 Petitions to the Commissioner	
	123 50 123 50 Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity	126 180 126 180 Submission of Information Disclosure Stmt	
Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per	
103 18 203 9 Claims in excess of 20	property (times number of properties)	
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
104 280 204 140 Multiple dependent claim, if not paid	149 740 249 370 For each additional invention to be	
109 84 209 42 ** Reissue independent claims over original patent	examined (37 CFR § 1.129(b))	
110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)	<u> </u>
and over original patent	169 900 169 900 Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 750.00	Other fee (specify)	<u> </u>
**or number previously paid, if grealer; For Reissues, see above	'Reduced by Basic Filing Fee Paid SUBTOTAL (3)	

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Jeffrey L. Wilson	Registration No. (Attorney/Agent) 36,058	Telephone	919-493-8000	
Signature	mt.ww		Date	28 Feb. 2002	

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#### 

#### JENKINS & WILSON, P.A.

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February 28, 2002

"Express Mail" mailing numbe	er.:EV023031743US
Date of DepositF	ebruary 28, 2002
hereby certify that this paper	or fee is being deposited with the United States
Postal Service "Express Mail P	ost Office to Addressee" service under 37 C.F.R.
1.10 on the date indicated ab	ove and is addressed to the Commissioner of
Patent and Trademarks, Was	shingten, D.C.
Shaylor E. Dunn	
mai	won

Commissioner for Patents BOX PATENT APPLICATION Washington, D.C. 20231

Re: U.S. Patent Application for SYSTEM AND METHOD FOR

MONITORING GROUPED RESOURCES

Our File No. 1174/146

Sir:

RICHARD E. JENKINS

ARLES A. TAYLOR, JR.

JOHN A. LAMERDIN, Ph.D.

JULIE A. BROADUS, Ph.D. (PATENT AGENT)

JEFFREY L. WILSON

DAVID P. GLOEKLER

GREGORY A. HUNT

BENTLEY J. OLIVE

E. ERIC MILLS

Please find enclosed the following:

- 1. A U.S. patent application for SYSTEM AND METHOD FOR MONITORING GROUPED RESOURCES (31 pages);
- 2. Five (5) sheets of formal drawings;
- 3. Unexecuted Declaration (3 pages);
- 4. Utility Patent Application Transmittal Form (Form PTO/SB/05, 2 pages);
- 5. Fee Transmittal Form (Form PTO/SB/17) in duplicate;
- 6. Nonpublication Request;
- 7. A check in the amount of \$1490.00 to cover the application filing fee;
- 8. A return-receipt postcard to be returned to our offices with the U.S. Patent and Trademark Office date stamp thereon; and

Commissioner for Patents February 28, 2002 Page 2

9. A Certificate of Express Mail No.: EV023031743US.

Please contact our offices if there are any questions.

Although a check is being submitted, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment associated with the filing of this correspondence to Deposit Account Number <u>50-0426</u>.

Respectfully submitted,

JENKINS & WILSON, P.A.

Jeffrey L. Wilson

Registration No. 36,058

Customer No. Bar Code Label:

m2. JS

JLW/anw

**Enclosures** 

25297

PATENT TRADEMARK OFFICE



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#### NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First I	Named Inventor	David A. Meckes
Title	SYSTEM AN	D METHOD FOR MONITORING ESOURCES
Atty C	ocket Number	1174/146

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

28 Feb. 2002

Date

MLWV Signature

Jeffrey L. Wilson

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).